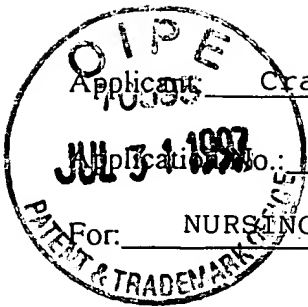


CERTIFICATE UNDER 37 CFR 3.73(b)



Applicant: Craig E. Brown, Robert J. Brown, Bernard J. Kemper & David E. Hays

Application No.: 08/589,117 Filed: January 19, 1996

For: NURSING BOTTLE

**RECEIVED**

**AUG 18 1997**

**GROUP 3200**

New Vent Designs, Inc., a Delaware corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel 7840, Frame 0517, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_  
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3. From: \_\_\_\_\_ To: \_\_\_\_\_  
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

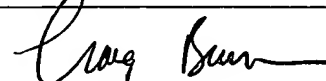
The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date : 7/28/97

Name : Craig E. Brown, M.D.

Title : President

Signature: 



*nifm*

**UNITED STATES DEPARTMENT OF COMMERCE**  
**Patent and Trademark Office**  
ASSISTANT SECRETARY AND COMMISSIONER  
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Washington, D.C. 20231

JUNE 06, 1996

PTAS

ARMSTRONG, TEASDALE, SCHLAFLY & DAVIS  
LISA A. FAHIEN  
SUITE 2600  
ONE METROPOLITAN SQUARE  
ST. LOUIS, MO 63102



\*100155023A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/19/1996

REEL/FRAME: 7840/0517  
NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
BROWN, CRAIG E.

DOC DATE: 01/16/1996

ASSIGNOR:  
BROWN, ROBERT J.

DOC DATE: 01/16/1996

ASSIGNOR:  
KEMPER, BERNARD J.

DOC DATE: 01/16/1996

ASSIGNOR:  
HAYS, DAVID E.

DOC DATE: 01/16/1996

ASSIGNEE:  
NEW VENT DESIGNS, INC.  
MT. ZION, ILLINOIS

SERIAL NUMBER: 08589117  
PATENT NUMBER:

FILING DATE: 01/19/1996  
ISSUE DATE:

7840/0517 PAGE 2

DIANE RUSSELE, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

OMB No. 0651-0011 (exp. 4/94)

### Tab settings

To the Honorable Commissioner

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Express Mail EM191535836US

ie attached original documents or copy thereof.

1. Name of conveying party(ies)

Craig E. Brown  
Robert J. Brown  
Bernard J. Kemper  
David E. Hays

David E. Hays  
Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

### 3. Nature of conveyance:

## Assignment

☐ Merger

☐ **Security Agreement**

☐ **Change of Name**

☐ Other

Execution Date: January 16, 1996

2. Name and address of receiving party(ies)

**AUG-1-8-1997** New Vent Designs, Inc.

**Internal Address:**

**Street Address:**

City: Mt. Zion State: IL ZIP:

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 1/16/96

**A. Patent Application No.(s)**

B. Patent No.(s) 71548 U.S. PTO

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Lisa A. Fahien

Internal Address: Armstrong, Teasdale,  
Schlafly & Davis

Street Address: Suite 2600

One Metropolitan Square

City: St. Louis, State: MO ZIP: 63102

**6. Total number of applications and patents involved:**

1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

**DO NOT USE THIS SPACE**

**9. Statement and signature.**

Statement and signature.  
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Lisa A. Fahien

**Name of Person Signing**

**Signature**

1-19-96

Date \_\_\_\_\_

Total number of pages including cover sheet, attachments, and document:

**Mail documents to be recorded with required cover sheet information to:**  
**Commissioner of Patents & Trademarks, Box Assignments**

ASSIGNMENT

WHEREAS, we, Craig E. Brown of Mt. Zion, Illinois, Robert J. Brown of Bonne Terre, Missouri, Bernard J. Kemper of Bonne Terre, Missouri and David E. Hays of Desloge, Missouri, have invented an improvement in Nursing Bottle (file 12740-00002) and have executed an application for a United States patent based thereon simultaneously herewith;

AND, WHEREAS, New Vent Designs, Inc. of Mt. Zion, Illinois, a corporation of the state of Delaware (hereinafter referred to as "ASSIGNEE") is desirous of acquiring certain rights thereunder;

NOW, THEREFORE, for one dollar and other good and valuable consideration, receipt of all of which is hereby acknowledged, we have agreed to and do hereby sell, assign and transfer unto said ASSIGNEE the entire right, title and interest in and throughout the United States of America (including its territories and dependencies) and all countries foreign thereto in and to said invention, said United States application, any other United States applications (including divisional, continuing or reissue applications) based in whole or in part on said United States application or in whole or in part on said invention, any foreign applications based in whole or in part on any of the aforesaid United States applications or in whole or in part on said invention, and any and all patents (including extensions thereof) of any country which have been or may be granted on any of the aforesaid applications or on said invention or any part thereof;

TO BE HELD AND ENJOYED by said ASSIGNEE, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by us had no sale and assignment of said interest been made;

AND we hereby authorize and request the Commissioner of Patents of the United States of America to issue any and all United States patents which may be granted upon said United States applications or any of them, or upon said invention or any part thereof, to said ASSIGNEE;

AND we hereby jointly and severally agree for ourselves and for our respective heirs, executors and administrators, to execute without further consideration any further lawful documents and any further assurances, and any divisional, continuing, reissue, or other applications for patents of any country, that may be deemed necessary by said ASSIGNEE fully to secure to said ASSIGNEE its interest as aforesaid in and to said invention or any part thereof, and in and to said several patents or any of them;

AND we hereby jointly and severally covenant for ourselves and our respective legal representatives, and agree with said ASSIGNEE, its successors and assigns, that we have granted no right or license to make, use or sell said invention, to anyone except said ASSIGNEE, that prior to the execution of this deed our right, title and interest in said invention had not been otherwise encumbered, and that we have not executed and will not execute any instrument in conflict herewith.

IN WITNESS WHEREOF, we have hereunto set our hands and affixed  
our seal.

Craig E. Brown  
Craig E. Brown

Robert J. Brown  
Robert J. Brown

Bernard J. Kemper  
Bernard J. Kemper

David E. Hays  
David E. Hays

**ACKNOWLEDGEMENT**

STATE OF SUZANNE MILLER, Notary Public  
State of Missouri, St. Francois Co.) SS.  
COUNTY OF My Commission Expires Nov. 13, 1999

On this 16th day of Jan., 1996, before me, a Notary  
Public, personally appeared Craig E. Brown to me known to be the  
person described in, and who executed the foregoing assignment and  
acknowledged that he executed same as his free act and deed.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal the  
date and year last above written.

Suzanne Miller  
Notary Public

My Commission Expires:

SUZANNE MILLER, Notary Public  
State of Missouri, St. Francois Co.  
My Commission Expires Nov. 13, 1999

SUZANNE MILLER, Notary Public  
State of Missouri, St. Francois Co.  
My Commission Expires Nov. 13, 1999

STATE OF \_\_\_\_\_ )  
COUNTY OF \_\_\_\_\_ ) SS.

On this 16 day of Jan, 1996, before me, a Notary Public, personally appeared Robert J. Brown to me known to be the person described in, and who executed the foregoing assignment and acknowledged that he executed same as his free act and deed.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal the date and year last above written.

Suzanne Miller  
Notary Public

My Commission Expires: SUZANNE MILLER, Notary Public  
State of Missouri, St. Francois Co.  
My Commission Expires Nov. 13, 1999

STATE OF \_\_\_\_\_ )  
COUNTY OF \_\_\_\_\_ ) SS.

On this 16 day of Jan, 1996, before me, a Notary Public, personally appeared Bernard J. Kemper to me known to be the person described in, and who executed the foregoing assignment and acknowledged that he executed same as his free act and deed.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal the date and year last above written.

Suzanne Miller  
Notary Public

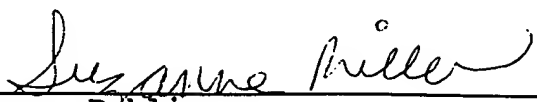
My Commission Expires: SUZANNE MILLER, Notary Public  
State of Missouri, St. Francois Co.  
My Commission Expires Nov. 13, 1999

STATE OF SUZANNE MILLER, Notary Public  
State of Missouri, St. Francois Co.  
My Commission Expires Nov. 13, 1999 ) SS.  
COUNTY OF \_\_\_\_\_ )

On this 16 day of Jan, 1996, before me, a Notary Public, personally appeared David E. Hays to me known to be the person described in, and who executed the foregoing assignment and acknowledged that he executed same as his free act and deed.



IN TESTIMONY WHEREOF, I have hereunto set my hand and seal the date and year last above written.

  
\_\_\_\_\_  
Notary Public

My Commission Expires:

SUZANNE MILLER, Notary Public  
State of Missouri, St. Francois Co.  
My Commission Expires Nov. 13, 1999